

Rmk Slogan:

"Existence of automorph. prevents exist. of"
fine moduli spaces



glue in neutriv. way on overlaps

Def (relative Picard functor) Y scheme

$$\text{Pic}_{Y/\mathbb{C}} : \text{Sch}^{\text{op}} \rightarrow \text{Sets}$$

$$X \mapsto \text{Pic}(X \times Y) / \pi_X^* \text{Pic}(X)$$

$$\pi_X : X \times Y \rightarrow X.$$

→ pullback via pullb. of line bdl

Thm Y integral, proj. variety / \mathbb{C} , then the
functor $\text{Pic}_{Y/\mathbb{C}}$ is representable by a
separated, loc. finite type scheme

$$\text{Pic}_{Y/\mathbb{C}}$$

Rmk

$$\rightarrow \text{Pic}_{Y/\mathbb{C}}(\text{Spec } \mathbb{C}) = \text{Pic}(Y) / \pi_{\text{pt}}^* (\underbrace{\text{Pic}(\text{pt})}_{\text{triv.}}) = \text{Pic}(Y)$$

$$\Rightarrow \text{Pic}_{Y/\mathbb{C}}(\mathbb{C}) = \text{Pic}(Y)$$

→ A line bundle \mathcal{L} on $\text{Pic}_{Y/\mathbb{C}} \times Y$ represent. univ. family
is called a Poincaré line bundle.

$$\begin{array}{ccc} \mathcal{L} & \cdots & \mathcal{L}_0 \\ \text{Pic}_{Y/\mathbb{C}} \times Y & \longleftarrow & Y \\ \downarrow & & \downarrow \\ \text{Pic}_{Y/\mathbb{C}} & \longleftarrow & [\mathcal{L}_0] = \text{pt} \end{array}$$

→ $\text{Pic}(Y)$ has structure of abelian group (\otimes)

⇒ $\text{Pic}_{Y/C}$ has str. of abelian group scheme

$$\text{Pic}_{Y/C} \times \text{Pic}_{Y/C} \rightarrow \text{Pic}_{Y/C}$$

$$([\mathcal{O}_Y], [\mathcal{M}]) \mapsto [\mathcal{L} \otimes \mathcal{M}]$$

$$\text{Pic}_{Y/C} \rightarrow \text{Pic}_C$$

$$[\mathcal{L}] \mapsto [\mathcal{L}^{\otimes n}]$$

are alg. morphisms.

→ $\text{Pic}_{Y/C}^0 \subseteq \text{Pic}_{Y/C}$ comm. comp. of $[\mathcal{O}_Y]$

↪ subgroup of $\text{Pic}_{Y/C}$

Exa $Y = C$ smooth, proj. irred. curve

⇒ $\text{Pic}_{C/C}^0 = \text{Jac}(C)$ Jacobian of C

Exa

(a) $Y = \text{pt} \rightsquigarrow \text{Pic}(\text{pt}) = \{\mathcal{O}\}$, indeed $\text{Pic}_{\text{pt}/C} = \text{pt} = \text{Spec } \mathbb{C}$

X scheme: $\text{Pic}_{\text{pt}/C}(X) = \text{Pic}(X) / \pi_X^* \text{Pic}(X) = \{[\mathcal{O}_X]\}$ ↑ not isom.
 $\mathcal{P}^{\text{pt}}(X) = \{X \rightarrow \text{pt}\}$

(b) $Y = \mathbb{P}^n \rightsquigarrow \text{Pic}(\mathbb{P}^n) = \{\mathcal{O}(n) : n \in \mathbb{Z}\}$

$$\text{Pic}_{\mathbb{P}^n/C} = \bigsqcup_{n \in \mathbb{Z}} \text{Spec}(\mathbb{C}) \dots \dots \dots$$

(c) $Y = \mathbb{A}^n \rightsquigarrow \text{Pic}(\mathbb{A}^n) = \{\mathcal{O}_{\mathbb{A}^n}\}$

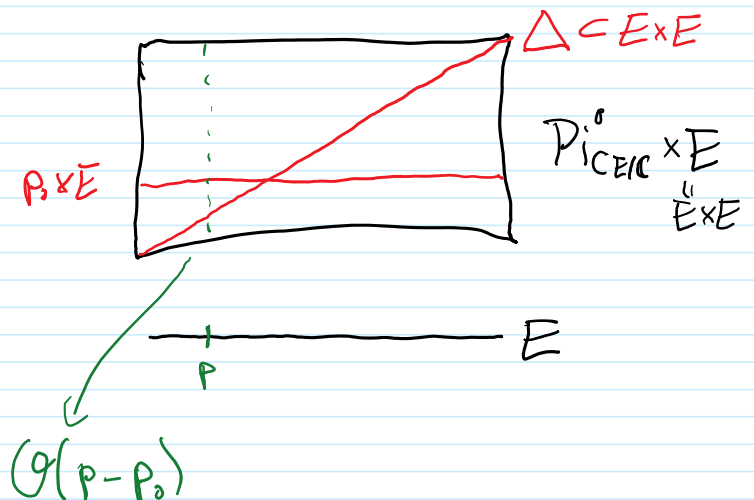
actually: $\text{Pic}_{\mathbb{A}^n/C}$ not representable.

(∃ X scheme: $\text{Pic}(X \times \mathbb{A}^1) \neq \text{Pic}(X)$)

(d) (E, P_0) elliptic curve

$$\text{Pic}_{E/C}^0 \cong E$$

$$\mathcal{L} = \mathcal{O}_{E \times E}(\Delta - P_0 \times E)$$



§2.4 Coarse moduli spaces

\rightsquigarrow "approximate moduli functor"

Def Given a moduli functor \mathcal{H} , a coarse moduli space is a pair (M, Φ) of a scheme M and nat. transf.

$$\underline{\Phi}: \mathcal{H} \rightarrow \mathcal{H}^M$$

Such that

(a) (M, Φ) initial among such pairs:

$$\begin{array}{ccc} \mathcal{H} & \xrightarrow{\Phi} & \mathcal{H}^M \\ & \searrow \Phi' & \swarrow \Phi'' \\ & \mathcal{H}^{M'} & \end{array}$$

(b) Φ induces a bijection

$$\underline{\Phi}(\text{Spec } \mathbb{C}) : \mathcal{H}(\text{Spec } \mathbb{C}) \xrightarrow{\sim} \mathcal{H}^M(\text{Spec } \mathbb{C}) = M(\mathbb{C})$$

on \mathbb{C} -points.

Easy exercise

(a) Show: every fine moduli space is a coarse mod. space

(b) Show: assuming prop. a) from def. above, show (M, Φ) is unique up to isomorphism.

Prop Y scheme s.t. Pic_Y is repr. by $\mathbb{P}^{\text{Pic}_Y/\mathbb{C}}$

$\Rightarrow \text{Pic}_Y$ has coarse moduli space $\mathbb{P}^{\text{Pic}_Y/\mathbb{C}}$.

Idea

$$\begin{array}{ccc} \text{Pic}_Y & \xrightarrow{\Phi} & \mathbb{P}^{\text{Pic}_Y/\mathbb{C}} \cong \mathcal{H}^{\text{Pic}_Y/\mathbb{C}} \\ & \searrow & \downarrow \\ & & \mathcal{H}^{M'} \end{array}$$

map does not ch. by pullback from base

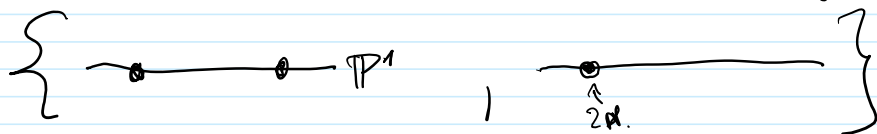
□

Challenge

Recall: $\text{Pic}_{\mathbb{A}^1/\mathbb{C}}$ not representable

Prove or disprove: $\text{Spec } \mathbb{C}$ is coarse mod. space for $\text{Pic}_{\mathbb{A}^1/\mathbb{C}}$.

Exercise Classify two points in \mathbb{P}^1 up to proj. equivalence



\leadsto define mod. functor h , show that $(\text{Spec } \mathbb{C}, \mathbb{E}: \mathbb{A}^1 \rightarrow \mathbb{A}^{\text{pt}})$ satisfies part (a) of Def above, but not part (b)

3) Families of curves and their moduli

3.1) Smooth and nodal curves

Def A complex curve is a one-dim'l variety $C \rightarrow \text{Spec } (\mathbb{C})$. In other words: a reduced, separated scheme of fin. type / $\text{Spec } (\mathbb{C})$, st. all irred. components have dim. 1.

Def C complex projective curve:

(a) C smooth, its geometric genus is defined as

$$p_g(C) = h^{1,0}(C) = \dim H^0(C, \Omega_C^1)$$

\nwarrow cotangent sheaf line bble.

C singular $\Rightarrow \tilde{C}$ normalization smooth curve

$$p_g(C) = p_g(\tilde{C})$$

(b) The arithmetic genus of C is defined as

$$p_a(C) = 1 - \chi(C, \mathcal{O}_C) = 1 - \dim H^0(C, \mathcal{O}_C) + \dim H^1(C, \mathcal{O}_C)$$

Prop C smooth, irred. projective $\Rightarrow P_g(C) = P_a(C)$.

Proof $H^0(C, \mathcal{O}_C) = \mathbb{C}$, C irred. $\xrightarrow{\text{Serre dual}}$

$$\Rightarrow P_a(C) = 1 - 1 + \dim H^1(C, \mathcal{O}_C) \stackrel{\text{Serre dual}}{=} \dim H^0(C, \Omega_C^1)^\vee = P_g(C) \quad \square$$

$$\cong H^0(C, \Omega_C^1)^\vee$$

Ex $C \subset \mathbb{P}^2$ nodal cubic curve, e.g.

$$C = \{ [X:Y:Z] \in \mathbb{P}^2 : ZY^2 + X^3 - ZX^2 = 0 \}$$



Show: $P_a(C) = 1$, $P_g(C) = 0$.

Dispersion (Riemann surfaces) C smooth, irr. proj.



$C(\mathbb{C})$

real surface

$$\rightsquigarrow P_g(C) = \# \text{ holes in surface}$$

} eg 2

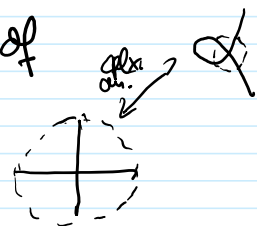
ordinary double point.

Def C complex curve

(a) A closed point $q \in C$ is a node if it satisfies one of the equiv. conditions:

- \exists neighbourhood of $q \in C(\mathbb{C})$ which is complex analytic, isomorphic to neighbourhood of origin in

$$\{ (x,y) : x \cdot y = 0 \} \subset \mathbb{C}^2$$



- the completion $\widehat{\mathcal{O}}_{C,q}$ of the loc. ring at q is isomorphic to $\mathbb{C}[[x,y]] / (x \cdot y)$

$$\left(\begin{array}{l} q \text{ smooth} \\ \rightsquigarrow \widehat{\mathcal{O}}_{C,q} \cong \mathbb{C}[[x]] \end{array} \right)$$

(b) C nodal if all $q \in C$ closed pt. are smooth or nodes.

Exerc Show that "nodal cubic curve" is nodal.

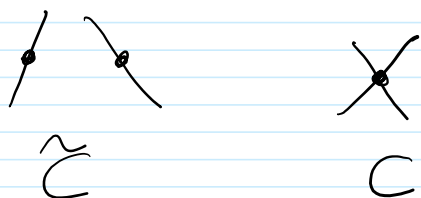
cplx. projective

C nodal curve $\Rightarrow \nu: \tilde{C} \rightarrow C$ normalization map.

$\rightsquigarrow \tilde{C}$ cplx. proj. smooth curve,

$\rightsquigarrow \nu$ isomorphism over loc. of smooth pts in C

\rightsquigarrow every node $q \in C$ has exactly two preimages q', q''



\rightsquigarrow normalization exact sequence $\nu: \tilde{C} \rightarrow C$

$$0 \rightarrow \mathcal{O}_C \xrightarrow{\nu_*} \nu_* \mathcal{O}_{\tilde{C}} \rightarrow \bigoplus_{q \text{ nodes of } C} \mathbb{C}_q \rightarrow 0 \quad (*)$$

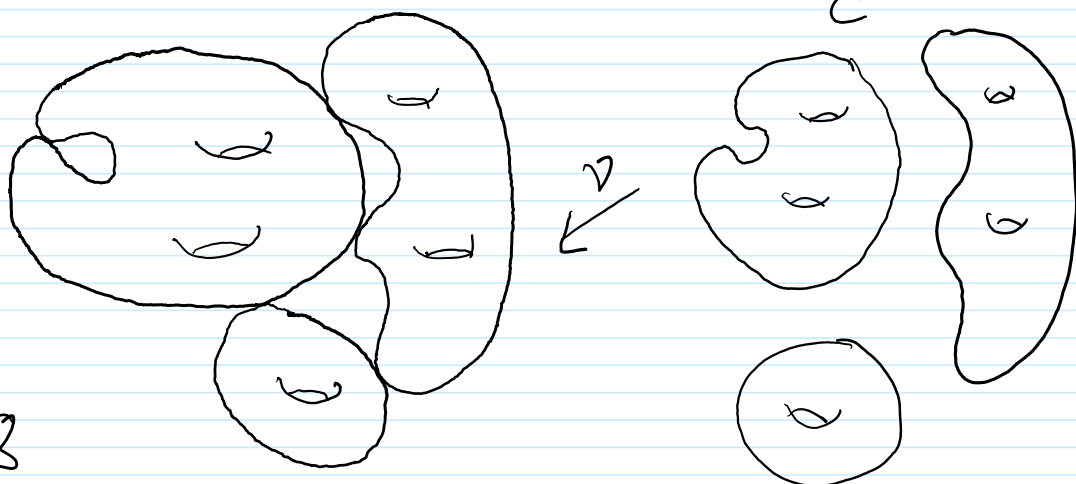
$s \mapsto (\nu_* s)$ $t \mapsto (t(q') - t(q''))_{q \text{ node } q', q'' \text{ preimages.}}$

↑ skyscraper sheaf at q

Easy exercise Use (*) to show:

$$P_g(C) = P_g(\tilde{C}) + \#\{\text{nodes of } C\}$$

$$P_g(C) = P_g(\tilde{C}) + 1 - \#\{\text{comp. of } \tilde{C}\} + \#\{\text{nodes of } C\}$$



$$P_g(C) = 5$$

$$P_g(\tilde{C}) = 8$$