

## Towards resolution of moduli of stable maps, II (joint w/ Yitao Hu)

Tuesday, November 17, 2020 9:00 AM

- Recall (from Yitao's talk):

In order to resolve  $\overline{m}_g(\mathbb{P}^n, d) = \{u: C \rightarrow \mathbb{P}^n \mid g(C) = g, \deg u = d, | \text{aut}(u) | < \infty\}$ , we hope

(I) to express the local equations of  $\overline{m}_g(\mathbb{P}^n, d)$  as explicit as needed.  
 given by the kernel of a  $g \times d$  matrix  $M_g$  } see Yitao's slides

- (II) to globalize (I)

- To achieve Part (II) for  $g=1, 2$ , we work on the relative Picard stack:

$$\mathcal{P}_g = \{ (C, L) \mid g(C) = g, L \rightarrow C \text{ line bundle} \}$$

known:  $\mathcal{P}_g$  is a smooth Artin stack.

$$\overline{m}_g(\mathbb{P}^n, d) \rightarrow \mathcal{P}_g, (C, u) \mapsto (C, u^* \mathcal{O}_{\mathbb{P}^n}(1))$$

Goal:

to construct  $\widetilde{\mathcal{P}}_g \xrightarrow{\sim} \mathcal{P}_g$  s.t.  $\overline{m}_g(\mathbb{P}^n, d) \times_{\mathcal{P}_g} \widetilde{\mathcal{P}}_g$  resolves  $\overline{m}_g(\mathbb{P}^n, d)$ .

Remark:

for  $g=1$ , may use

$$\overline{m}_1^{\text{wt}} = \{(C, w) \mid g(C) = 1, w \in H^2(C, \mathbb{Z}), w(\Sigma) \geq 0, \forall \text{irr. } \Sigma \subset C\}$$

instead of  $\mathcal{P}_1$ .

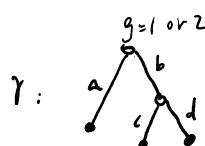
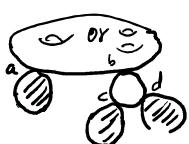
- To construct  $\widetilde{\mathcal{P}}_g$ , notice that  $\mathcal{P}_g = \bigsqcup_{(r, w: \text{Vert}(r) \rightarrow \mathbb{Z})} P_{(r, w)}$   
 $\{(C, L) \mid \text{dual graph of } C = r, \deg L|_{C_v} = w(v)\}$

Each  $P_{(r, w)}$  determines a rooted tree  $T_{(r, w)}$  s.t.

the root  $\longleftrightarrow$  smallest genus & subcurve

the leaves  $\longleftrightarrow$  the components w/  $\deg L > 0$ , closest to core.

Ex. 1

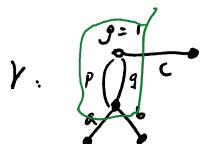
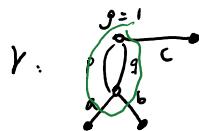


$T:$  same



$\circlearrowleft : w = \deg L|_{C_v} = 0$

$\circlearrowright : w = \deg L|_{C_v} > 0$

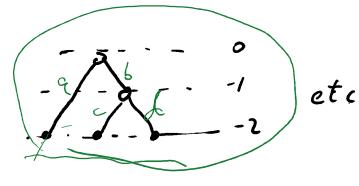
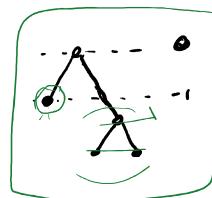
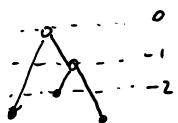
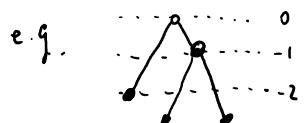


$\tau$ :

- Let  $P_{[\tau]} = \bigsqcup_{\tau_{(r,w)} = \tau} P_{(r,w)}$  (e.g.  $\in P[A]$ )

Then  $\left\{ \begin{array}{l} P_g = \bigsqcup_{\text{all trees } \tau} P_{[\tau]} \\ P_{[\tau]} \text{ is smooth, locally given by } (\underbrace{s_e = 0 : e \in \text{Edg}(\tau)}_{\text{local parameters on } V \rightarrow P_g} \text{ corresp. to nodes.}) \end{array} \right.$

- After analyzing how VZ blowups affect each stratum  $P_{[\tau]}$ , we assign to each  $\tau$  a "level structure"  $\ell$  as follows:



etc

- Some rules:
- root always on top.
  - cannot have
  - actual values "0, -1, -2, ..." do not matter
  - do not assign values to

- For  $P_{[\tau]}$  as above, let

$\sqsubset$  if

$\prod \oplus / \cap$

$L_e \otimes \bigotimes_{\substack{\text{all } e' \\ \text{above } e}} L_{e'}$

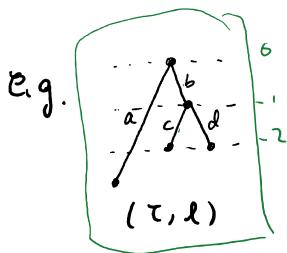
$\sqsubset \not\sqsubset / \oplus$

$$\mathbb{P}_{[\tau, \ell]}^{tf}$$

$$= \prod_{i \in \{\text{the levels of } \ell\}} \mathbb{P}^*(\bigoplus_{\substack{\text{all edges } e \in \text{Edg}(\tau) \\ \text{s.t. the lower vertex of } e \\ \text{is on level } i}} L_e) / \mathbb{P}_{[\tau]}$$

$$\mathbb{P}^*(\bigoplus L_j) = \{[v_j] \in \mathbb{P}(\bigoplus L_j) \mid v_j \text{ is twisted}\}$$

"twisted fields"



$$\text{then } \mathbb{P}_{[\tau, \ell]}^{tf} = \underbrace{\mathbb{P}(L_b)}_{\text{level } -1} \times \underbrace{\mathbb{P}(L_b \otimes L_c \oplus L_b \otimes L_d)}_{\text{level } -2} / \mathbb{P}_{[\tau]}$$

- Set theoretically,  $\mathbb{P}_g^{tf} = \bigsqcup_{\text{all } [\tau, \ell]} \mathbb{P}_{[\tau, \ell]}^{tf} \rightarrow \mathbb{P}_g$

In particular, over the main stratum  $\mathbb{P}_{[\tau=0]}$ , no twisted fields added:

i.e.  $\mathbb{P}_{[\tau=0]}^{tf} = \mathbb{P}_{[\tau=0]}$

- How to glue  $\mathbb{P}_{[\tau, \ell]}^{tf}$  together to form  $\mathbb{P}_g^{tf}$ ?

Answer: using smooth charts.

Ex. 4

Given  $\tilde{x} \in \mathbb{P}_{[\tau, \ell]}^{tf}$  over  $x = (c, L) \in \mathbb{P}_{[\tau, \ell]}$ , let  $V \rightarrow \mathbb{P}_g$  be an affine smooth chart containing  $\tilde{x}$  w/ local parameters  $s_a, s_b, s_c, s_d, \alpha_j$ .  
node smoothing, vanish @  $\tilde{x}$       other parameters

We construct an affine smooth chart  $U_{\tilde{x}} \rightarrow \mathbb{P}_g^{tf}$  w/ local parameters

$$\begin{bmatrix} \xi_{-1}, \xi_{-2}, \xi_a, \xi_d, \alpha_j \\ \text{Vanishes @ } \tilde{x} & \text{nonzero vanishing on } U_{\tilde{x}} \end{bmatrix}$$

by setting

above  $e$

$$L_e \quad \text{all edges } e \in \text{Edg}(\tau)$$

s.t. the lower vertex of  $e$   
is on level  $i$ .

$$\mathbb{P}_{[\tau]} \wedge V = \{s_e = 0 : e \in \text{Edg}(\tau)\}$$

$$\text{Remark: } L_e \rightarrow \mathbb{P}_{[\tau]}$$

$$L_e |_{\mathbb{P}_{[\tau]} \wedge V} = (\text{the normal bundle of } (s_e = 0))|_{\dots}$$

by setting

- $\{\xi_1 = \xi_2 = \xi_a = 0\}$

$$\xrightarrow{\text{or or}} P_{[\begin{smallmatrix} & & \\ \cancel{\Delta} & \cancel{\Delta} & \end{smallmatrix}]}$$

$(0, 0, 0, \xi_d; \alpha_j) \mapsto \left( (0, 0, 0, 0; \alpha_j), \left[ 0 : \frac{\partial}{\partial s_b} \Big|_{(0,0,0,0;\alpha_j)} \right], \left[ 0 : 1 \cdot \frac{\partial}{\partial s_b} \otimes \frac{\partial}{\partial s_c} \Big|_{(0,0,0,0;\alpha_j)} : \xi_d \frac{\partial}{\partial s_b} \otimes \frac{\partial}{\partial s_d} \Big|_{...} \right] \right)$

in  $V \rightarrow P_{[\begin{smallmatrix} & & \\ \cancel{\Delta} & \cancel{\Delta} & \end{smallmatrix}]}$  ↗ twisted fields

- $\{\xi_1 = \xi_2 = 0, \xi_a \neq 0\} \rightarrow P_{[\begin{smallmatrix} & & \\ \cancel{\Delta} & \cancel{\Delta} & \end{smallmatrix}]}$

$(0, 0, \xi_a, \xi_d; \alpha_j) \mapsto \left( (0, 0, 0, 0; \alpha_j), \left[ 0 : \frac{\partial}{\partial s_b} \Big|_{...} \right], \left[ \xi_a \frac{\partial}{\partial s_a} \Big|_{...} : \frac{\partial}{\partial s_b} \otimes \frac{\partial}{\partial s_c} \Big|_{...} : \xi_d \frac{\partial}{\partial s_b} \otimes \frac{\partial}{\partial s_d} \Big|_{...} \right] \right)$

- $\{\xi_1 = 0, \xi_2 \neq 0, \xi_a = 0\} \rightarrow P_{[\begin{smallmatrix} & & \\ \cancel{\Delta} & \cancel{\Delta} & \end{smallmatrix}]}$

$(0, \xi_2, 0, \xi_d; \alpha_j) \mapsto \left( (0, 0, \xi_2, \xi_2 \xi_d), \left[ 0 : \frac{\partial}{\partial s_b} \Big|_{...} \right] \right)$

$\uparrow \uparrow \uparrow \uparrow$   
 $\xi_a \quad \xi_b \quad \xi_c \quad \xi_d$

- $\{\xi_1 = 0, \xi_2, \xi_a \neq 0\} \rightarrow P_{[\begin{smallmatrix} & & \\ \cancel{\Delta} & \cancel{\Delta} & \end{smallmatrix}]}$

$(0, \xi_2, \xi_a, \xi_d; \alpha_j) \mapsto \left( (0, 0, \xi_2, \xi_2 \xi_d), \left[ \xi_2 \xi_a \frac{\partial}{\partial s_a} \Big|_{...} : \frac{\partial}{\partial s_b} \Big|_{...} \right] \right)$

$\uparrow \uparrow \uparrow$   
 $\xi_a \quad \xi_b \quad \xi_c \quad \xi_d$

- $\{\xi_1 \neq 0, \xi_2 = \xi_a = 0\} \rightarrow P_{[\begin{smallmatrix} & & \\ \cancel{\Delta} & \cancel{\Delta} & \end{smallmatrix}]}$

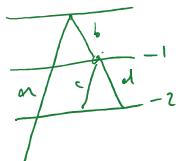
$(\xi_1, 0, 0, \xi_d; \alpha_j) \mapsto \left( (\xi_1, 0, 0, 0), \left[ 0 : \frac{\partial}{\partial s_c} \Big|_{...} : \xi_d \frac{\partial}{\partial s_d} \Big|_{...} \right] \right)$

- $\{\xi_1 \neq 0, \xi_2 = 0, \xi_a \neq 0\} \rightarrow P_{[\begin{smallmatrix} & & \\ \cancel{\Delta} & \cancel{\Delta} & \end{smallmatrix}]}$

$$(\xi_{-1}, 0, \xi_a, \xi_d; \alpha_j) \mapsto \left( (0, \xi_{-1}, 0, 0), \left[ \begin{array}{c|c} \xi_{-1} \xi_a \frac{\partial}{\partial \xi_a} & \frac{\partial}{\partial \xi_{-1}} \\ \hline \dots & \xi_d \frac{\partial}{\partial \xi_d} \end{array} \right] \dots \right)$$

•  $\{\xi_{-1} \neq 0, \xi_{-2} \neq 0, \xi_a = 0\} \rightarrow P_{[\tau]}^{tf} (= P_{[0]})$

$$(\xi_{-1}, \xi_2, 0, \xi_d; \alpha_j) \mapsto (0, \xi_{-1}, \xi_2, \xi_a \xi_d)$$



•  $\{\xi_{-1} \neq 0, \xi_{-2} \neq 0, \xi_a \neq 0\} \rightarrow P_{[\dots]}^{tf} (= P_{[0]})$

$$(\xi_{-1}, \xi_2, \xi_a, \xi_d; \alpha_j) \mapsto (\xi_{-1}, \xi_2, \xi_a, \xi_d; \alpha_j)$$

Remark :

1. Intuitively, if  $\tilde{x} \in P_{[\tau, \ell]}^{tf}$ , then other possible  $[\tau', \ell']$  in  $\mathcal{U}_{\tilde{x}}$  are obtained from  $[\tau, \ell]$  by



e.g.  $M_{\text{A}}^{tf}$  cannot be near  $M_{\text{A}}^{tf}$

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2. In the above example, the projection  $\mathcal{U}_{\tilde{x}} \rightarrow \mathcal{V}$  is given by

$$(\xi_{-1}, \xi_{-2}, \xi_a, \xi_d; \alpha_j) \rightarrow (\underbrace{\xi_{-1} \xi_{-2} \xi_a}_{\xi_a}, \underbrace{\xi_{-1}}_{\xi_b}, \underbrace{\xi_{-2}}_{\xi_c}, \underbrace{\xi_{-2} \xi_d}_{\xi_d}; \alpha_j)$$

3. For  $g=1$ , near 

The matrix  $M_\psi = [\xi_a, \xi_b \xi_c, \xi_b \xi_d, \dots]$  that locally defines  $\overline{M}_1(\mathbb{P}^n, d)$

last time:  $\xi_a w_a^j + \xi_b \xi_c w_c^j + \xi_b \xi_d w_d^j = 0$   $\quad 1 \leq j \leq n$

pulls back to

~~near~~  $\rightarrow [ \underbrace{\xi_{-1} \xi_{-2} \xi_a}_{\text{Smooth}}, \underbrace{\xi_{-1} \xi_{-2}}, \underbrace{\xi_{-1} \xi_{-2} \xi_d}, \dots ]$  ✓

$$\xi_{-1} \cdot \xi_{-2} \cdot \left( \underbrace{(w_c^j + \xi_a w_a^j + \xi_d w_d^j)}_{\substack{\text{boundaries} \\ \text{Main Component}}} \right) = 0$$

4. For  $g=2$ , near   
( $a, b$  not conjugate/Wierstrass)

the matrix

each is a multiple of one of the entries  
on the left.

$$M_\psi = \begin{bmatrix} \xi_b \xi_c & \xi_b \xi_c & \xi_b \xi_d & \xi_a & \dots \\ 0 & \xi_b^2 \xi_c^2 & \xi_b^2 \xi_d & \xi_a & \boxed{\dots} \end{bmatrix}$$

pulls back to

~~near~~  $\rightarrow \xi_{-1} \xi_{-2} \left[ \begin{array}{ccccc} 1 & * & * & * & \dots \\ 0 & \xi_{-1} \xi_{-2} & \xi_{-1} & \xi_a & \dots \end{array} \right] \checkmark$

Row 1 is in the desired form, but Row 2 is not yet!

Theorem 1. (Hu, -19, 20)

### Theorem 1 (Hu, -'19, '20)

Let  $P_g^{\text{tf}} = \bigsqcup P_{[\tau, \ell]}^{\text{tf}}$  be as above. Then, it glues to a smooth stack with the above  $U_{\tilde{x}}$ 's as smooth charts.

- $P_g^{\text{tf}} \rightarrow P_g$  is birational & proper.

- Locally, the products  $\prod \mathbb{P}^1$ 's pull back to desired forms

$\Rightarrow \widetilde{M}_g(\mathbb{P}^n, d) \times_{P_g^{\text{tf}}} P_g^{\text{tf}}$  has smooth irreducible components and at worst normal crossing singularities.

- To deal with  $g=2$ , we further notice

1). Generally, one can construct  $M^{\text{tf}} \rightarrow M$  for a smooth stack  $M$  as long as  $M = \bigsqcup_{\alpha \in I} M_\alpha$  s.t.

a) each  $\alpha \in I$  corresponds to a graph  $\gamma_\alpha$  and an open cover  $\{V_\alpha^i \rightarrow M\}$  of  $M_\alpha$  by charts s.t.

s.t.  $M_\beta \cap V_\alpha^i \neq \emptyset \Rightarrow \gamma_\beta$  is obtained from  $\gamma_\alpha$  by edge contraction,

$M_\beta \cap V_\alpha^i$  can be described by  $\begin{cases} S_e \neq 0 & \Leftrightarrow e \text{ contracted} \\ S_e = 0 & \Leftrightarrow e \text{ not contracted} \end{cases}$

b) each  $\alpha \in I$  corresponds to a rooted tree  $\tau_\alpha$  s.t. the edge contraction

on  $\tau_\alpha$  is "compatible" w/ the edge contraction on  $\gamma_\alpha$ .

**Remark:** we use  $\gamma_\alpha$ 's to control the local geometry of  $M$  and use  $\tau_\alpha$ 's to add levels.

2).  $M^{\text{tf}}$  naturally has a stratification. There are choices of assigning  $\gamma_\alpha$ 's and  $\tau_\alpha$ 's to  $M^{\text{tf}}$ . This suggests a recursive construction

$$\dots \rightarrow (M^{\text{tf}})^{\text{tf}} \rightarrow M^{\text{tf}} \rightarrow M$$

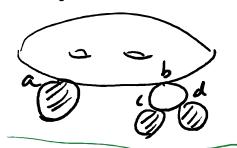
## Theorem 2. (Hu, - '20)

We can apply an 8-step construction to obtain  $\tilde{P}_2^{tf} \rightarrow P_2$  s.t.

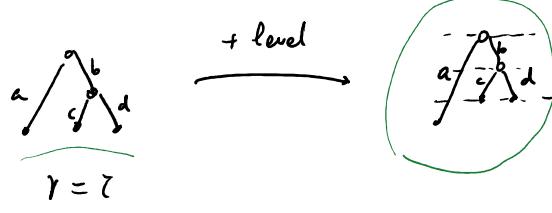
smooth, proper, birat'l

$\overline{m}_2(P^n, d) \times_{P_2^{tf}} \tilde{P}_2^{tf}$  has smooth irreducible components and at worst normal crossing singularities.

Ex 4 ( $g=2$  continued):



(nodes in general positions)



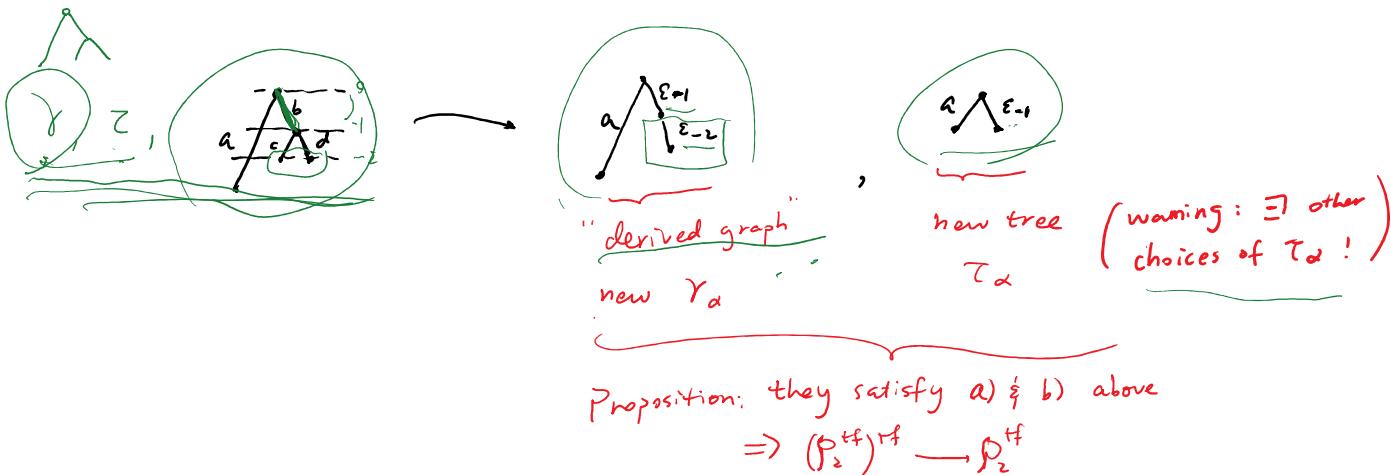
each is a multiple of one of the entries on the left.

$$M_p = \begin{bmatrix} g_b g_c & g_b g_c & g_b g_d & g_a \\ 0 & g_b^2 g_c^2 & g_b^2 g_d & g_a \end{bmatrix}$$

As aforementioned,  $M_p$  pulls back to

$$\begin{bmatrix} 1 & * & * & * & \dots \\ 0 & \xi_1 \xi_{-2} & \xi_{-1} & \xi_2 & \dots \end{bmatrix}$$

The 2<sup>nd</sup> row is still not smooth — we keep adding "twisted fields".



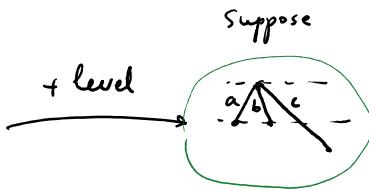
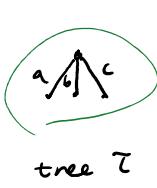
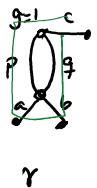
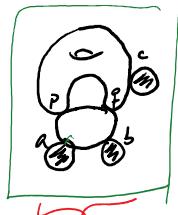
There are only 3 ways of adding levels to  $a \nearrow \epsilon_{-1}$ :

$$\begin{array}{c} \text{graph } \xi_{-1} \\ \text{with } a \text{ and } b \end{array} \Rightarrow \left( \begin{array}{cc} \hat{\xi}_{-1} & 0 \\ 0 & \hat{\xi}_{-1}^2 \end{array} \right) \left( \begin{array}{cccc} 1 & * & \cdots \\ 0 & 1 & \cdots \end{array} \right)$$

$\begin{array}{c} \text{graph } \xi_{-1} \\ \text{with } a \text{ and } b \end{array} \Rightarrow \text{same form}$

$$\begin{array}{c} \text{graph } \xi_{-1} \\ \text{with } a \text{ and } b \end{array} \Rightarrow \left( \begin{array}{cc} \hat{\xi}_{-1} & 0 \\ 0 & \hat{\xi}_{-1}^2 \end{array} \right) \left( \begin{array}{cccc} 1 & * & \cdots \\ 0 & 1 & \cdots \end{array} \right)$$

Ex. 5



this forces the nodes  $a \neq b$  to be conjugate.

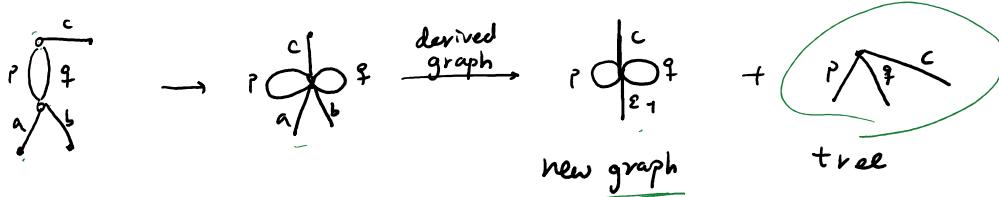
$$M_\varphi = \begin{pmatrix} \xi_a & \xi_a & \xi_b & \xi_c & \xi_c & \cdots \\ 0 & (f_a \xi_p + g_a \xi_q) \xi_a^2 & (\xi_p + \xi_q) \xi_b & (f_b \xi_p + g_b \xi_q) \xi_b^2 & \xi_c & \cdots \end{pmatrix},$$

where  $f, g$ 's,  $\det \begin{pmatrix} f & 1 \\ g & 1 \end{pmatrix}$ ,  $\det \begin{pmatrix} f_a & f_b \\ g_a & g_b \end{pmatrix} \in \Gamma(\mathcal{O}_Y^\times)$ ,

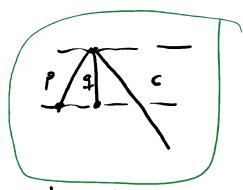
pulls back to

$$\xi_{-1} \begin{pmatrix} 1 & * & * & * & * & \cdots \\ 0 & (f_a \xi_p + g_a \xi_q) \xi_a^2 \xi_{-1} & \xi_p + \xi_q & (f_b \xi_p + g_b \xi_q) \xi_{-1} & \xi_c & \cdots \end{pmatrix}.$$

$= 0 \Leftrightarrow a, b \text{ conjugate}$



Consider near



(for other level structures on  $p/a/c$   
the pullback of  $M_\varphi$  is already diagonalized)

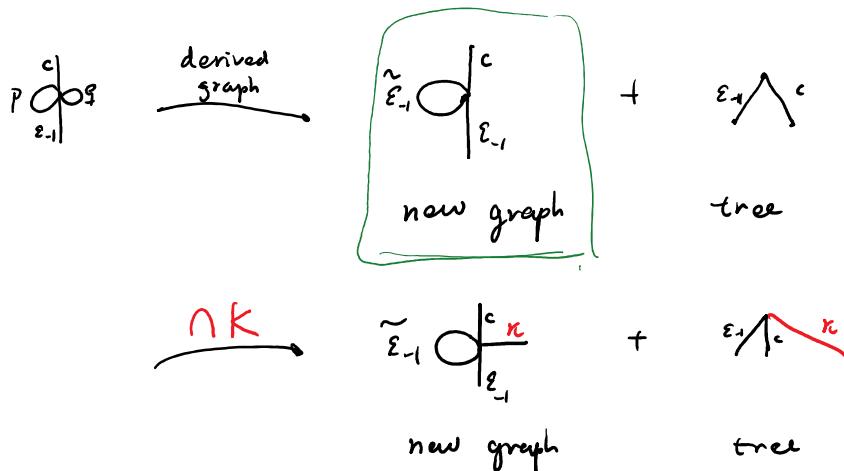
$M_\varphi$  further pulls back to

$M_\varphi$  further pulls back to

$$\left( \dots \right) \left( \begin{array}{cc} 1 & * \\ 0 & 1 + \xi_g \end{array} \right) \left( \begin{array}{cccc} * & * & \dots \\ \xi_{-1} & \tilde{\xi}_0 & \dots \end{array} \right)$$

*a local parameter,  
denoted by  $\kappa$*

- $\kappa=0$  is global  $\leftrightarrow a, b$  conjugate  
denoted by  $K$



Similar to Ex. 4, for any possible level added to  $\varepsilon_1 \nearrow c^n$ ,  
the pullback of  $M_\varphi$  is diagonalized.

Thank you !